



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Len F. LEE et al.

Atty. Docket No.: 6794A-000032/US/DVD
(3091/04/DIV/2)

Serial No.: 10/830,125

Group Art Unit: TBA

Filed: April 23, 2004

Examiner: TBA

For: Novel Benzothiepines Having Activity as Inhibitors of Ileal Bile Acid Transport and Taurocholate Uptake

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office
220 20th Street S, Customer Window
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

August 30, 2004

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 C.F.R. §§ 1.56(a), 1.97 and 1.98 *et seq.*, Applicants submit herewith the attached Form PTO/SB/08A. Each of the references cited on the attached Form PTO/SB/08A was cited in parent application Serial No. 10/068,297. A copy of these references can be found in the file of parent application Serial No. 10/068,297. Applicants respectfully request that the Examiner consider and enter all the documents cited on the enclosed Form PTO/SB/08A into the file of the above-identified application. Applicants also request an indication of the same by return of the Form PTO/SB/08A being initialed and dated by the Examiner.

No fees are believed due to ensure consideration of the attached documents by the Examiner. However, if any fees are required or an overpayment of fees made, the Commissioner is hereby authorized to debit or credit our Deposit Account No. 19-0733, as necessary.

Respectfully submitted,

HARNESS, DICKEY, & PIERCE, P.L.C.

By

 8/30/2004
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Enclosure:

Form SB/08A

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Attorney Docket No.
6794A-000032/US/DVD
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10/830,125

Applicant(s): LEE et al

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Page 9 of 11

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6794A-000032/US/DVD
(3091/04/US/DVD)Serial No.
10/830,125

Applicant(s): LEE et al

Filing Date: April 23, 2004

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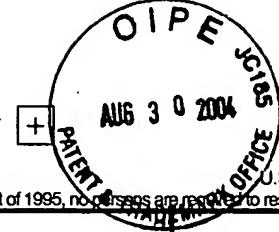
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DATE CONSIDERED

USPTO Form 1449 (modified) Patent and Trademark Office	U.S. Department of Commerce	Attorney Docket No. 6794A-000032/US/DVD (3091/04/US/DVD)	Serial No. 10/830,125
INFORMATION DISCLOSURE CITATION		Applicant(s): LEE et al	
Page 10 of 11		Filing Date: April 23, 2004	Examiner: TBA Group: TBA
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.</p> <p>**Copies of references not provided at the time of this submission.</p>			

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Sheet 10 of 11

<i>Complete if Known</i>	
Application Number	10/830,125
Filing Date	April 23, 2004
First Named Inventor	LEE et al
Group Art Unit	TBA
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Attorney Docket Number	6794A-0000032/US/DVD

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
226.	DE	3 122 499	A1					
227.	EP	0 022 487	A1					
228.	EP	0 033 538	B1					
229.	EP	0 244 364	A2					
230.	EP	0 409 281	A1					
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(use as many sheets as necessary)				Filing Date	April 23, 2004
				First Named Inventor	Len F. LEE et al.
				Group Art Unit	TBA
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Sheet	11	of	11	Attorney Docket Number	6794A-000032/US/DVD)

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
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